

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
4 October 2001 (04.10.2001)

PCT

(10) International Publication Number
WO 01/73891 A1

(51) International Patent Classification?: **H01Q 15/00,**
3/44

[US/US]: 3925 Latigo Canyon Road, Malibu, CA 90265 (US).

(21) International Application Number: PCT/US01/00855

(74) Agents: **BERG, Richard, P. et al.**; Ladas & Parry, Suite 2100, 5670 Wilshire Boulevard, Los Angeles, CA 90036-5679 (US).

(22) International Filing Date: 10 January 2001 (10.01.2001)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
09/537,922 29 March 2000 (29.03.2000) US

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

6,552,169
(63) Related by continuation (CON) or continuation-in-part (CIP) to earlier application:
US 09/537,922 (CON)
Filed on 29 March 2000 (29.03.2000)

(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

(71) Applicant (*for all designated States except US*): **HRL LABORATORIES, LLC.** [US/US]; 3011 Malibu Canyon Road, Malibu, CA 90265-4799 (US).

Published:

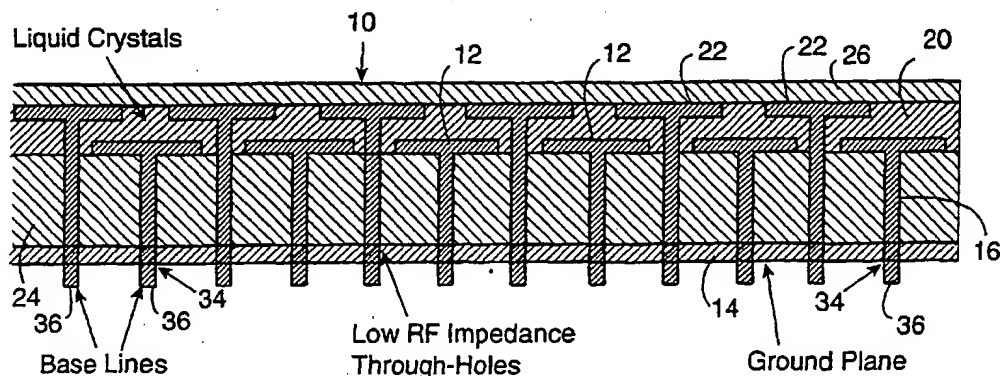
- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

(72) Inventors; and

(75) Inventors/Applicants (*for US only*): **SIEVENPIPER, Daniel** [US/US]; 11300 Exposition Blvd. #215, Los Angeles, CA 90064 (US). **HSU, Tsung-Yuan** [US/US]; 3463 Three Springs Drive, Westlake Village, CA 91361 (US). **WU, Shin-Tson** [US/US]; 19138 Frankfort Street, Northridge, CA 91324 (US). **PEPPER, David, M.**

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: AN ELECTRONICALLY TUNABLE REFLECTOR



(57) Abstract: A tunable impedance surface for steering and/or focusing a radio frequency beam. The tunable surface comprises a ground plane; a plurality of elements disposed a distance from the ground plane, the distance being less than a wavelength of the radio frequency beam; and a capacitor arrangement for controllably varying the capacitance of adjacent top plates, the capacitor arrangement including a dielectric material which locally changes its dielectric constant in response to an external stimulus.

WO 01/73891 A1

An Electronically Tunable Reflector

Field of the invention

The present invention relates to a surface which reflects radio-frequency, including microwave radiation, and which imparts a phase shift to the reflected wave which is electrically tunable, using liquid crystals or other electrically tunable medium.

Background of invention

There is an existing need for materials and/or surfaces which can steer (or focus) a radio frequency electromagnetic beam. Such materials and/or surfaces can be very useful in various applications such as radio frequency communication systems, including satellite communication system.

Prior art approaches for radio frequency beam steering generally involve using phase shifters or mechanical gimbals. With the present invention, beam steering is accomplished electronically using variable capacitors, thus eliminating expensive phase shifters and unreliable mechanical gimbals. Furthermore, the reflective scanning approach disclosed herein eliminates the need for a conventional phased array, with separate phase shifters on each radiating element. The tunable surface disclosed herein surface can serve as a reflector for any static, highly directed feed antenna, thus removing much of the complexity and cost of conventional, steerable antenna systems.

It is known in the prior art that an ordinary metal surface reflects electromagnetic radiation with a π phase shift. However, a Hi-Z surface of the type disclosed in PCT Publication WO

99/50929 dated October 7, 1999, is capable of reflecting radio frequency radiation with a zero phase shift.

A Hi-Z surface, shown in Figure 1, consists of an array of metal protrusions or elements 12 disposed above a flat metal sheet or ground plane 14. It can be fabricated using printed circuit board technology, in which case the vertical connections are formed by metal vias 16, which connect the metal elements 12 formed on a top surface of a printed circuit board 18 (see Figure 2) to a conducting ground plane 14 on the bottom surface of the printed circuit board 18. The metal elements 12 are arranged in a two-dimensional lattice, and can be visualized as mushrooms or thumbtacks protruding from the flat metal ground plane surface 14. The maximum dimension of the metal elements 12 on the flat upper surface is much less than one wavelength (λ) of the frequency of interest. Similarly, the thickness of the structure measures also much less than one wavelength of the frequency of interest.

The properties of the Hi-Z surface can be explained using an effective media model, in which it is assigned a surface impedance equal to that of a parallel resonant LC circuit. The use of lumped parameters to describe this electromagnetic structure is valid when the wavelength of interest is much longer than the size of the individual features, such as is the case here. When an electromagnetic wave interacts with the Hi-Z surface, it causes charges to build up on the ends of the top metal elements 12. This process can be described as governed by an effective capacitance C . As the charges travel back and forth, in response to the radio-frequency field, they flow around a long path through the vias 16 and the bottom ground plane 14. Associated with these currents is a magnetic field, and thus an inductance L . The effective circuit elements are illustrated in Figure 2. The capacitance is controlled by the proximity of the adjacent metal elements 12, while the inductance is controlled by the thickness of the structure (i.e. the distance between the metal elements 12 and the ground plane 14).

The presence of an array or lattice of resonant LC circuits affects the reflection phase of the Hi-Z surface. For frequencies far from resonance, the surface reflects radio frequency waves with a π phase shift, just as an ordinary conductor does. However, at the resonant frequency,

the surface reflects with a zero phase shift. As a frequency of the incident wave is tuned through the resonant frequency of the surface, the reflection phase changes by one complete cycle, or 2π . This is seen in both the calculated and measured reflection phases, as shown in Figures 3 and 4, respectively.

- 5 When the reflection phase is near zero, the structure also effectively suppresses surface waves, which has been shown to be significant in antenna applications.

Structures of this type have been constructed in a variety of forms, including multi-layer versions with overlapping capacitor plates. Examples have been demonstrated with resonant frequencies ranging from hundreds of megahertz to tens of gigahertz, and the effective media
10 model presented herein has proven to be an effective tool for analyzing and designing these materials, now known as Hi-Z surfaces.

Brief Description of the Present Invention

The present invention involves a method and apparatus for tuning the reflection phase of the Hi-Z surface using a material which locally changes its dielectric constant in response to
15 external stimuli. Liquid crystal materials can be used as the material which locally changes its dielectric constant. Alternatively, instead of liquid crystal materials, one can use suspended microtubules, suspended metal particles, ferroelectrics, or any other media which has an electrically, for example, tunable dielectric constant. Since this device is electronically reconfigurable, it requires no macroscopic mechanical motion. Instead, it uses electric field-
20 induced molecular reorientation within a layer of liquid crystal material or other appropriate material to produce an electrically tunable capacitance. Tunable capacitors make up resonant elements which are distributed across the Hi-Z surface, and determine the reflection phase at each point on the surface. By varying the reflection phase as a function of position, a reflected wave can be steered electronically. In addition, this method and apparatus can be
25 combined with mechanical techniques to create a hybrid structure which can allow for even more tunability.

Important features of the present invention include:

1. A structure which incorporates a liquid crystal material or other tunable material into the capacitive region of a Hi-Z surface to produce a surface with tunable reflection phase.

2. The disclosed structure and methods can be used to extend the useful-bandwidth of a Hi-Z surface.

3. A method of steering or focusing a microwave or radio-frequency beam using a structure having a Hi-Z surface and a media which has an electrically tunable dielectric constant, such as a liquid crystal.

The present invention can be applied to a wide range of microwave and millimeter-wave antennas where quasi-optical elements can improve performance. The present invention has application in space-based radar and airborne communications node (ACN) systems whereby an aperture must be continually reconfigured for various functions. The present invention can be used to replace a fixed reflector with an adaptive planar reflector, and provide for beam direction and tracking. They are also many commercial applications for multi-functional apertures of the type which can be produced using the invention as disclosed wherein.

In one aspect the present invention provides a tuneable impedance surface for steering and/or focusing an incident radio frequency beam, the tuneable surface comprising: a ground plane; a plurality of elements disposed a distance from the ground plane, the distance being less than a wavelength of the radio frequency beam; and a capacitor arrangement for controllably varying the capacitance of adjacent elements, the arrangement including a dielectric material which locally changes its dielectric constant in response to an external stimulus.

In another aspect the present invention provides a method of tuning a high impedance surface for a radio frequency signal. The method includes arranging a plurality of generally spaced-

apart planar conductive surfaces in an array disposed essentially parallel to and spaced from a conductive back plane, the size of each conductive surface being less than a wavelength of the radio frequency signal and the spacing of each conductive surface from the back plane being less than a wavelength of the radio frequency signal; and varying the capacitance between adjacent conductive surfaces by locally varying a dielectric constant of a dielectric material to thereby tune the impedance of said high impedance surface.

Brief Description of the Drawings

Figure 1 is a perspective view of high-impedance surface fabricated using printed circuit board technology of the type disclosed in U.S. Provisional Patent Serial Number 60/079,953 and having metal plates on the top side connect through metal plated vias to a solid metal ground plane on the bottom side;

Figure 2 is a schematic diagram of an effective media model of the capacitance and inductance of the Hi-Z surface of Figure 1;

Figure 3 depicts the calculated reflection phase of the high-impedance surface, obtained from the effective medium model and shows that the phase crosses through zero at the resonance frequency of the structure;

Figure 4 shows that the measured reflection phase agrees well with the calculated reflection phase;

Figures 5a and 5b are schematic side elevation and plan views of a simple one-dimensional tunable high impedance surface;

Figure 6a1 and 6a2 demonstrate the reaction of a homogeneous aligned liquid crystal to an applied electric field;

Figure 6b1 and 6b2 demonstrate the reaction of a polymer dispersed liquid crystal to an applied electric field;

Figure 7 is a schematic plan view of a simple ring-geometry tunable high impedance surface;

5 Figures 8a and 8b are schematic side elevation and plan views of a simple two-dimensional tunable high impedance surface;

Figure 8c shows an equivalent circuit for the bias lines passing through the ground plane;

Figure 9 depicts an electronically tunable surface acting as a steerable reflector for a stationary feed antenna;

10 Figure 10 shows an incident wave and reflected wave reflected at a large angle from a electronically tunable surface with an indication of the change in phase function needed to effect the reflection;

Figures 11a and 11b are a side elevation view and a plan view of a tunable high impedance surface which uses MEMS tunable mechanical capacitors in addition a variable dielectric constant material to vary the impedance of the high impedance surface; and

15 Figures 12a and 12b are a side elevation view and a plan view of another embodiment of a tunable high impedance surface which uses MEMS tunable mechanical capacitors in addition a variable dielectric constant material to vary the impedance of the high impedance surface.

Detailed Description

Turning to Figures 5a and 5b, a simple one-dimensional version of a tunable high impedance

- surface is depicted. By incorporating a tunable dielectric material 20 between or adjacent capacitor plates 12 and 22, the resonant frequency of the surface can be adjusted locally. Liquid crystal is used as a material for electronically tuning the reflection phase of a Hi-Z surface. Other materials can also be used in lieu of liquid crystal materials, such as suspended microtubules. By applying an AC electrical bias $v_1 - v_N$ to the liquid crystal material via the plates 12 and 22, its dielectric constant can be changed through molecular reorientation, thereby tuning the resonant frequency of the Hi-Z surface. At a particular fixed frequency, this appears as a change in the reflection phase. From an alternative viewpoint, the frequency at which the reflection phase is zero will be changed as a function of the applied the voltage, thus allowing one to tune an antenna disposed above the surface. By applying different voltages $v_1 - v_N$ to different regions of the surface, the reflection phase can be specified electronically as a function of position on the surface, allowing a reflected beam to be steered. This represents electrostatic steering, since motion only occurs at the molecular level in the liquid crystal material.
- 15 In this simplified form, the structure can be fabricated using thin strips of metal or other conductors, printed or otherwise formed on two separate layers 24, 26 of glass or other insulating material. The lower insulating plate 24 has a metal ground plane 14 disposed on its rear surface and elements 12 disposed on its front surface. The upper insulating plate 26 has capacitor plates or electrodes 22 formed thereon. The two plates 24, 26 of insulating material are disposed close and essentially parallel to each other, separated by a thin layer of a liquid crystal material 20. Typically, the spacing is kept constant in liquid crystal devices by adding a small fractional volume of plastic spheres (not shown) which act as spacers. The thin strips of conductive material 22 have electrical connections 22a at the edges of the insulating plate 26 allow a bias voltage $v_1 - v_N$ to be applied thereto relative to the ground plane 14.
- 25 Alternatively, a segmented resistor with taps for each electrode can be used to apply a voltage gradient to the structure.

The basic geometry for such a surface is illustrated in Figures 5a and 5b. The vertical conducting vias 16 shown Figure 1 are absent here because they are only necessary for the

suppression of surface waves and they can be removed without affecting the reflection phase. Also, only a few capacitor plates or electrodes 22 are shown in Figure 5a and 5b for ease of illustration, it being recognized that, in practice, a large number of such plates or electrodes might well be used. Also, the mechanical details for constraining the liquid crystal or other material with suitable properties 20 between the two insulating plates 24, 26 is not shown as those details are well known in the liquid crystal display technology art, for example.

The concept of using a liquid crystal material (or polymer disposed liquid crystal), for example, as a tunable capacitor is illustrated Figures 6a1 and 6a2. An embodiment utilizing a homogeneous aligned liquid crystal is depicted by Figures 6b1 and 6b2. When no bias signal V is applied, the molecules of the liquid crystal material 20 are oriented parallel to the electrodes as shown in Figure 6a1, an effect that is achieved through a well known surface treatment. See, for example, J. Cogard, *Mol. Cryst. Liq. Cryst. Suppl. 1*, 1 (1982). When an DC or AC bias voltage V is applied between the electrodes 12, 22, the molecules align themselves along the applied electric field, as shown by Figure 6a2. The effective dielectric constant is, in general, a tensor, whose properties depend on the orientation of the individual molecules. Thus, by selectively applying bias voltages and thus aligning the molecules differently in different parts of the device shown by Figures 5a and 5b, one can tune the dielectric constant along a particular direction. In the case of Figure 5b, the tuning would be in the direction perpendicular to the major axes of the capacitor electrodes 22. If the applied voltage is a DC voltage then the liquid crystal can be considered as being either "on" or "off". To obtain a fine control over the dielectric constant provided by the liquid crystal media, the applied voltage is preferably an AC voltage so that the crystal is switched on and off repetitively according to the frequency of the applied AC voltage. The dielectric constant also tends to change in the same fashion so that the time-wise average is controlled according to the shape of the applied AC voltage. The tuning of the dielectric constant also tunes the value of the capacitors and adjusts reflection phase of the surface. A polymer dispersed liquid crystal 20 may alternatively be used as is shown by Figures 6b1 and 6b2. Here the liquid crystal material 20 takes the form bubbles in a solid polymer 21. When no voltage V is applied, the molecules are randomly oriented, as shown in Figure 6b1. When a voltage V is

applied, they align perpendicular to the electrodes as shown by Figure 6b2. This technique results in a relatively fast response and allows for a solid state construction. See J.W. Doane, N.A. Vaz, B.G. Wu and S. Zumer, *Appl. Phys. Lett.* 48, 269 (1986).

In this embodiment, the liquid crystal material is subjected to two different frequencies: (1) the AC bias, whose RMS value determines the orientation of the molecules within the liquid crystal material and (2) the radio frequency signal, which oscillates too fast to affect the liquid crystal.

The metal plates 12 and capacitor electrodes 22 are much smaller in size than the wavelength of interest, so a reflector of reasonable size may include hundreds or thousand or more of these tiny resonant elements. Each resonant element would contain a electrically tunable capacitor, which will allow the reflection phase to be tuned as a function of position on the surface. This enables a reflected beam to be steered in any direction by imparting a linear slope on the reflection phase. If the structure is not to be used for beam steering, but simply to extend the maximum operating bandwidth of a given Hi-Z surface, then the applied voltage would be a uniform function across the surface.

The same concept can be used to make a tunable focusing reflector, by using a ring geometry such as that shown in Figure 7. Rings of metal may be fed from the edge or through a ground plane as will be described later. By varying the voltage applied across each pair of rings, a focusing reflector results with a tunable focal point. Again, only a few capacitor electrodes 22 are shown for ease of illustration, it being recognized that, in use, a Hi-Z surface would be provided with many such electrodes 22. Also, the tunable material 20 (such as a liquid crystal material) and other mechanical and electrical details are not shown for ease of illustration.

The fractional change in dielectric constant that is achievable in current commercial liquid crystal materials is on the order of 10%. However, materials with as much as 30% tunability are known in the prior art. See S.T. Wu et al., *Appl. Phys. Lett.* 74, 344 (1999). If the geometry of the Hi-Z surface is chosen such that the reflected phase changes by 2π , then any

desired phase change can be achieved. For beam steering, a total phase change of 2π would be desirable, so the bandwidth of the Hi-Z surface should be kept small, by making the structure thin. This requirement is easily met by current Hi-Z surfaces.

5 The tunability of the liquid crystal material can also be used or alternatively be used to extend the bandwidth of the wide-band Hi-Z surface. In this case, the surface would be relatively thick to have the widest possible instantaneous bandwidth for a given applied voltage. The thicker the surface, the wider the instantaneous bandwidth. For a given thickness, the total available bandwidth can be increased by making the Hi-Z surface tunable - tuning it to whatever frequency is desired at a particular time. This effectively extends the maximum
10 usable frequency range or "bandwidth," but not the frequency range available at any particular instant in time (i.e. the "instantaneous bandwidth"). However, if the goal of the user of the present invention is a structure with a large phase tunability, then a relatively narrow instantaneous bandwidth may well be preferred. This is because a narrow instantaneous bandwidth corresponds to a steep phase slope as a function of resonant
15 frequency and thus a given change in dielectric constant. This can be an important consideration, especially if the material selected has a limited range of dielectric constant variability.

The simple reflector shown in Figures 5a and 5b is capable of one-dimensional (or single axis) scanning. A two-dimensional (or two orthogonal axes) embodiment results from the geometry
20 shown in Figures 8a and 8b. The T-shaped metal electrodes resemble elements 12 and 16 shown in the Hi-Z surface presented in Figure 1. A structure of this design would be the most general, and would be used for both two-dimensional scanning and also for focusing. Of course, it can be used for one-dimensional scanning, if desired. In this embodiment, the bias lines 36 are preferably fed through the ground plane 14. This presents a potential problem
25 with radio frequency leakage to the ground plane 14, which can be solved by using lines having very low radio frequency impedance, such as a coax cable with a relatively wide inner conductor, a spiral inductor structure or a low-pass LC filter 34. This would effectively short the radio frequency signal to the ground plane and prevent it from propagating through the

backside, without affecting the AC bias signal, which would propagate on the bias lines 36 since the frequency of the AC bias signals $v_1 - v_N$ are substantially less than the frequency of the RF signals reflected from the surface. An effective or equivalent low pass filter is shown by the detailed view of Figure 8c which depicts an equivalent circuit for the through holes in the ground plane 14.

In the embodiment of Figures 5a and 5b, the elements 12 are not AC-coupled to the ground plane 14 (although they could be so coupled). In the embodiment of Figures 8a and 8b, elements 12 are AC-coupled to the ground plane 14 by LC filter 34 (see the equivalent circuit for the through holes in the ground plane depicted by Figure 8c). When the elements 12 are AC-coupled to the ground plane 14, then surface waves will be suppressed and the Hi-Z surface can have a zero reflection phase. A zero reflection phase is important, in some applications, since antenna elements can lie directly adjacent the Hi-Z surface 10. The suppression of surface waves is important in such applications because it improves the antenna's radiation pattern when the antenna is close enough that it would otherwise excite such surface waves (when within a wavelength or so). For example, if one or more antenna elements is mounted on or very near the tunable Hi-Z surface, such as the case of a dipole element adjacent or on the tunable Hi-Z surface, then it is very desirable to suppress the surface waves. However, if the antenna is relatively far from the tunable Hi-Z surface (more than a wavelength), such as in the case of a feed horn illuminating the tunable Hi-Z surface, then suppression of surface waves is of less concern and AC-coupling the elements 12 to the ground plane 14 may be omitted as is depicted by the embodiment of Figures 5a and 5b. In that embodiment the reflection phase can still be zero at some frequency and the surface is tunable using the techniques described herein.

Otherwise this embodiment of Figure 8a and 8b is similar to the embodiment of Figures 5a and 5b in that the structure can be fabricated using thin plates or elements 12, 22 (as opposed to the strips in Figure 5a and 5b) of metal or other conductive material, printed or otherwise formed on two separate layers 24, 26 of glass or other insulating material. The lower insulating plate 24 has a metal ground plane 14 disposed on its rear surface and elements 12

disposed on its front surface. The upper insulating plate 26 has capacitor plates or electrodes 22 formed thereon. The two plates 24, 26 of insulating material are disposed close and essentially parallel to each other, separated by a thin layer of a liquid crystal material 20. Typically, the spacing is kept constant in liquid crystal devices by adding a small fractional volume of plastic spheres (not shown) which act as spacers. The elements 12, 24 overlap each other as is depicted by Figure 8b to form capacitors between the overlapping elements with the liquid crystal material 20 being positioned between the overlapping plates. The capacitance is tunable since the dielectric constant of the liquid crystal material 20 is controlled according to the voltages impressed on the bias lines 36. Of course, some bias lines 36 may be coupled to ground either externally of the device shown in Figures 8a and 8b or by connecting such bias lines directly to the ground plane 14.

Although the disclosed embodiments focus on embodiments which utilize liquid crystal materials, the present invention can be used with other materials. Other useful materials which can be used in lieu of liquid crystals include suspended microtubules, suspended metal particles, ferroelectrics, polymer dispersed liquid crystals and other tunable dielectrics.

A possible antenna using a reflector such as that previously shown is now depicted in Figure 9. A stationary horn or other high-directivity feed structure 38 would illuminate the liquid crystal tunable surface 10. The bias applied to this surface, as a function of position, would determine the angle of the reflected beam. Using current liquid crystal technology, the beam can be steered in a matter of milliseconds. To steer to large angles, phase discontinuities of 2π would be used as shown in Figure 10. In this case, the structure resembles a radio-frequency Fresnel parabolic reflector.

Figures 11a and 12a are a side elevation views of two different embodiments of a reflector having a tunable high impedance surface which uses MEMS tunable mechanical capacitors 40 in addition a variable dielectric constant material (such as a liquid crystal material 20 - an upper glass layer to contain the liquid crystal material is not shown for the sake of ease of illustration) to vary the impedance of the high impedance surface 10. The MEMS tunable

mechanical capacitors 40 are controlled by address lines 36. The elements 12 are arranged in two groups: one group 12a is directly (AC and DC) grounded to the back plane 14 by conductors 16 while the other group 12b is only AC grounded to the back plan 14 by LC filters 34. As such DC and comparatively low frequency AC control signals on lines 36 can
5 be used to vary the capacitance contributed by MEMS capacitors 40. The capacitance contributed by the MEMS capacitor augments the capacitance contributed by the liquid crystal material 20. The capacitance contributed by the liquid crystal material is controlled by control voltages applied to liquid crystal control lines 38.

Figures 11b and 12b are top views of the two embodiments discussed above and correspond
10 to Figures 11a and 11b, respectively. Group 12a of elements 12 are shown in phantom lines since they underlie the group 12b which generally is disposed above them in the elevation views discussed above.

The embodiment of Figures 11a and 11b and the embodiment of Figure 12a and 12b are similar. In the embodiment of Figure 12a and 12b the MEMS capacitor control lines are
15 supplied co-axially of the liquid crystal control lines 38. In the embodiment of Figure 11a and 11b the MEMS capacitor control lines are routed parallel to, but offset from, the liquid crystal control lines 38.

As can be seen, in these embodiments the MEMS capacitors 40 are connected between adjacent top elements in group 12b. However, the MEMS capacitors 40 could (i) also or
20 alternatively be connected between adjacent elements 12a and/or (ii) also or alternatively connect adjacent elements 12 in different groups (in which case the MEMS capacitors 40 would bridge the gap between the elements in group 12 a and the elements in group 12b).

The term "dielectric constant" is well known in the electric and electronic arts. The term relates to a physical property of materials and doubtlessly when the term was adopted the
25 property was viewed as being a "constant" for each given material. As technology has progressed, materials have been discovered for which this physical property of a "dielectric

constant" can vary for one reason or another. This invention takes advantage of such materials to provide a tunable reflector. In liquid crystal materials, the physical property of a dielectric constant is often referred to as "birefringence".

Having described the invention in connection with certain embodiments thereof, modification
5 will now certainly suggest itself to those skilled in the art. As such, the invention is not to be limited to the disclosed embodiments except as required by the appended claims.

CLAIMS:

1. A tuneable impedance surface for steering and/or focusing an incident radio frequency beam, the tuneable surface comprising:
 - (a) a ground plane;
 - (b) a plurality of elements spaced from the ground plane by a distance or distances less than a wavelength of the radio frequency beam; and
 - (b) a capacitor arrangement for controllably varying the capacitance of adjacent elements including a dielectric material which locally changes its dielectric constant in response to an external stimulus.
2. The tuneable impedance surface of claim 1 further including a insulator for supporting said ground plane on one major surface thereof and for supporting a first group of said plurality of elements on another major surface thereof.
3. The tuneable impedance surface of claim 2 further including a second insulator for supporting a second group of said plurality of elements on a major surface thereof.
4. The tuneable impedance surface of claim 3 wherein said capacitor arrangement is adjustable to electrically tune the impedances of said plurality of elements, said external stimulus being provided by a plurality of AC bias signals.
5. The tuneable impedance surface of claim 4 wherein the plurality of elements each have an outside dimension which is less than the wavelength of the radio frequency beam.
6. The tuneable impedance surface of claim 5 wherein the first group of elements is coupled to the ground plane.
7. The tuneable impedance surface of claim 6 wherein the second group of elements is coupled to receive the AC bias signals.

constant" can vary for one reason or another. This invention takes advantage of such materials to provide a tunable reflector. In liquid crystal materials, the physical property of a dielectric constant is often referred to as "birefringence".

5 Having described the invention in connection with certain embodiments thereof, modification will now certainly suggest itself to those skilled in the art. As such, the invention is not to be limited to the disclosed embodiments except as required by the appended claims.

CLAIMS:

1. A tuneable impedance surface for steering and/or focusing an incident radio frequency beam, the tuneable surface comprising:
 - (a) a ground plane;
 - (b) a plurality of elements spaced from the ground plane by a distance or distances less than a wavelength of the radio frequency beam; and
 - (b) a capacitor arrangement for controllably varying the capacitance of adjacent elements including a dielectric material which locally changes its dielectric constant in response to an external stimulus.
2. The tuneable impedance surface of claim 1 further including a insulator for supporting said ground plane on one major surface thereof and for supporting a first group of said plurality of elements on another major surface thereof.
3. The tuneable impedance surface of claim 2 further including a second insulator for supporting a second group of said plurality of elements on a major surface thereof.
4. The tuneable impedance surface of claim 3 wherein said capacitor arrangement is adjustable to electrically tune the impedances of said plurality of elements, said external stimulus being provided by a plurality of AC bias signals.
5. The tuneable impedance surface of claim 4 wherein the plurality of elements each have an outside dimension which is less than the wavelength of the radio frequency beam.
6. The tuneable impedance surface of claim 5 wherein the first group of elements is coupled to the ground plane.
7. The tuneable impedance surface of claim 6 wherein the second group of elements is coupled to receive the AC bias signals.

8. The tuneable impedance surface of claim 7 wherein the second insulator is disposed in a spaced, parallel relationship to the first mentioned insulator, the dielectric material which locally changes its dielectric constant in response to an external stimulus being disposed between the two insulators.
9. The tuneable impedance surface of claim 8 wherein the dielectric material which locally changes its dielectric constant in response to an external stimulus is a liquid crystal material.
10. The tuneable impedance surface of claim 9 wherein the plurality of elements are arranged in a two dimensional array.
11. The tuneable impedance surface of claim 9 wherein the plurality of elements are arranged in a one dimensional array.
12. The tuneable impedance surface of any one of claims 1 - 11 further including a plurality of MEMS capacitors coupled between adjacent ones of said plurality of elements.
13. The tuneable impedance surface of claim 12 wherein said plurality of MEMS capacitors are coupled between adjacent ones of said second group of elements.
14. The tuneable impedance surface of any one of claims 1 - 13 wherein said plurality of elements are grouped into first and second groups, the first group being coupled to said ground plane and the second group receiving said external stimulus.
15. The tuneable impedance surface of claim 14 wherein the external stimulus is a bias voltage.
16. A method of tuning a high impedance surface for a radio frequency signal comprising:

arranging a plurality of generally spaced-apart planar conductive surfaces in an array disposed essentially parallel to and spaced from a conductive back plane, the size of each conductive surface being less than a wavelength of the radio frequency signal and the spacing of each conductive surface from the back plane being less than a wavelength of the radio frequency signal; and

varying the capacitance between adjacent conductive surfaces by locally varying a dielectric constant of a dielectric material to thereby tune the impedance of said high impedance surface.

17. The method of claim 16 wherein said plurality of generally spaced-apart planar conductive surfaces are arranged on an insulator.

18. The method of claims 16 or 17 wherein the step varying the capacitance between adjacent conductive surfaces in said array includes providing bias signals to capacitor electrodes disposed adjacent said dielectric material.

19. The method of claims 16, 17 or 18 further including providing MEMS capacitors between adjacent ones of said spaced-apart planar conductive surfaces and wherein the step of varying the capacitance between adjacent conductive surfaces includes applying bias signals to said MEMS capacitors.

20. A tunable reflective surface for a radio frequency signal comprising:

a conductive ground plane;

a plurality of generally spaced-apart planar conductive surfaces in an array disposed essentially parallel to and spaced from the ground plane, the size of each conductive surface being less than a wavelength of the radio frequency signal and the spacing of each conductive surface from the ground plane being less than a wavelength of the radio frequency signal; and

a material having a locally varying dielectric constant disposed adjacent said plurality of generally spaced-apart planar conductive surfaces and spaced from said ground plane.

21. The tunable reflective surface of claim 20 wherein said plurality of generally spaced-apart planar conductive surfaces are arranged on an insulating substrate.
22. The tunable reflective surface of claim 21 further including a plurality of capacitor electrodes disposed adjacent said dielectric material and spaced from said plurality of generally spaced-apart planar conductive surfaces and means for providing bias signals to said capacitor electrodes disposed adjacent said dielectric material.
23. The tunable reflective surface of claim 22 wherein the plurality of generally spaced-apart planar conductive surfaces are disposed on the insulating substrate and wherein the plurality of capacitor electrodes are disposed on a second substrate.

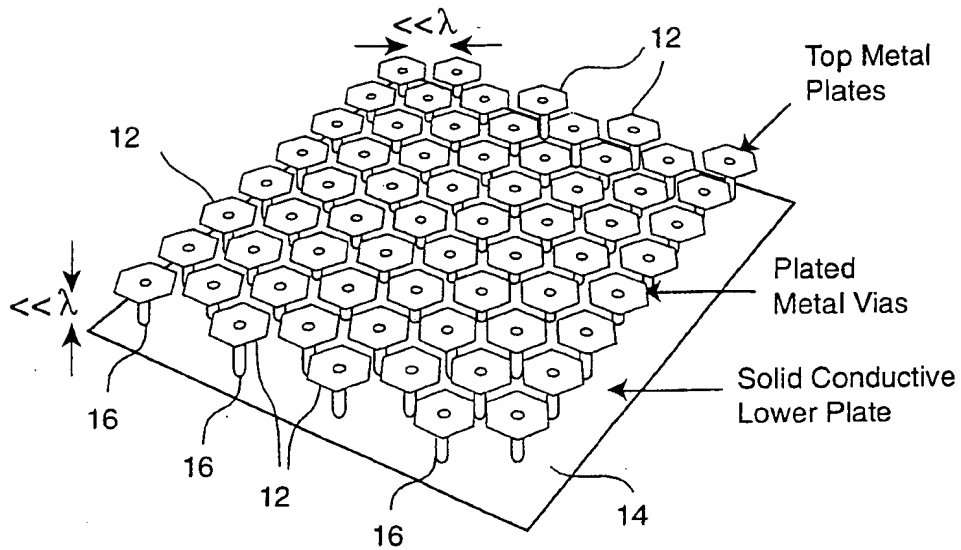


FIG. 1

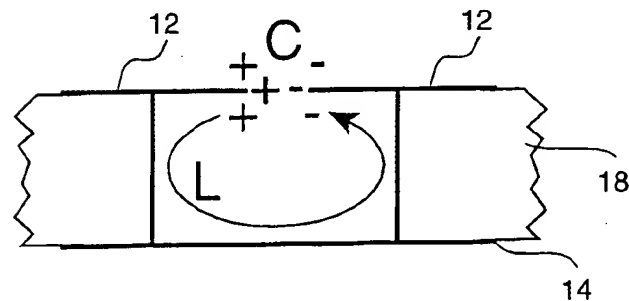


FIG. 2

Origin of the capacitance and inductance in the effective medium model.
The capacitance is controlled by the proximity of the adjacent metal plates,
While the inductance is controlled by the thickness of the structure.

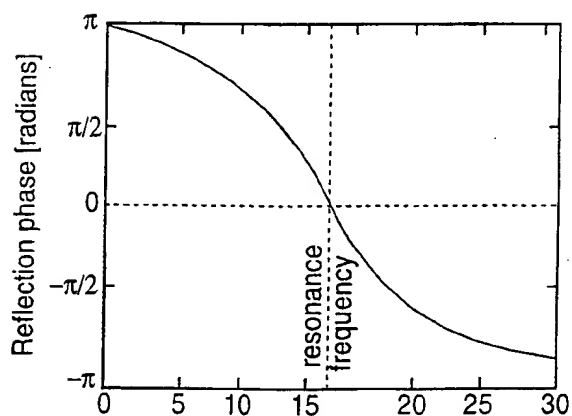
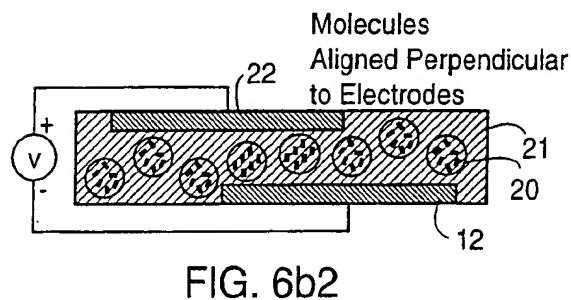
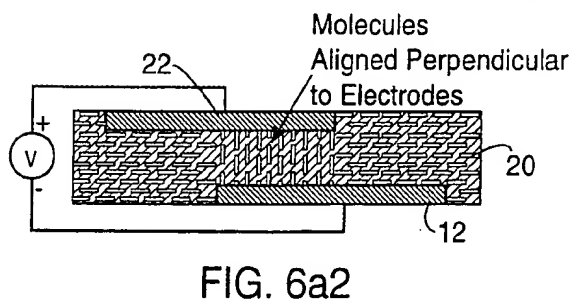
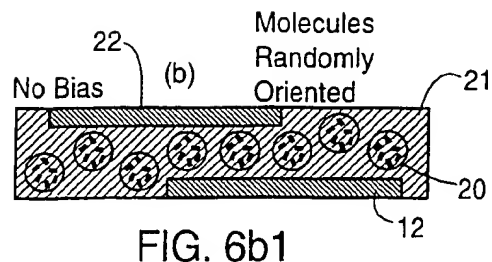
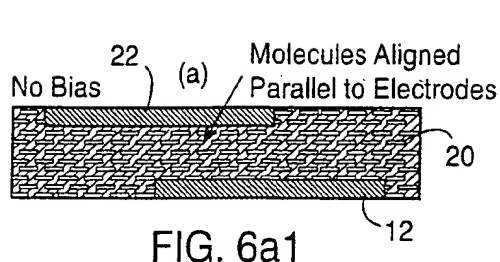


FIG. 3

Calculated reflection phase of the high-impedance surface, obtained from the effective medium model. The phase crosses through zero at the resonance frequency of the structure.

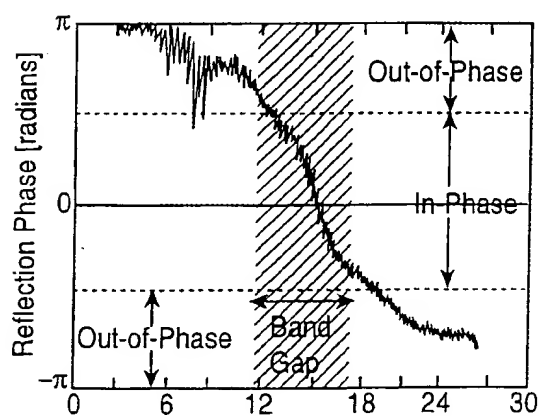


FIG. 4

The measured reflection phase agrees well with the calculated reflection phase, reinforcing the validity of the effective medium model.

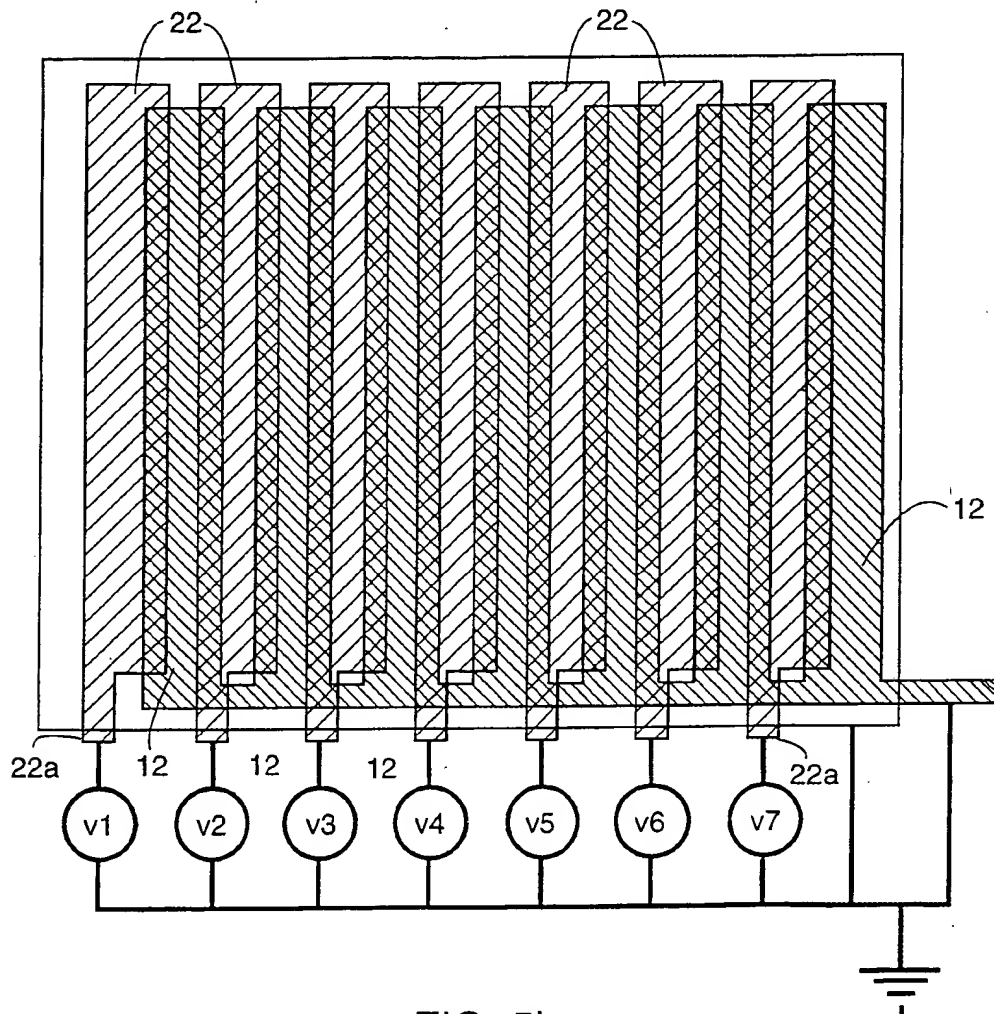
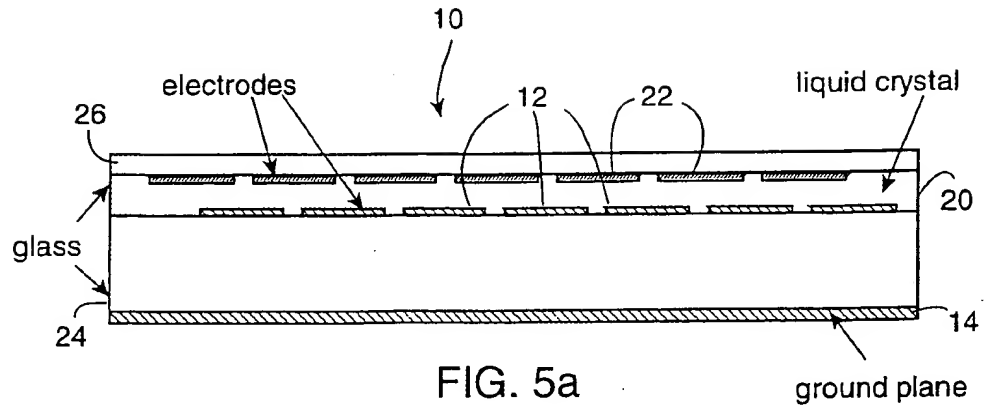


FIG. 5b

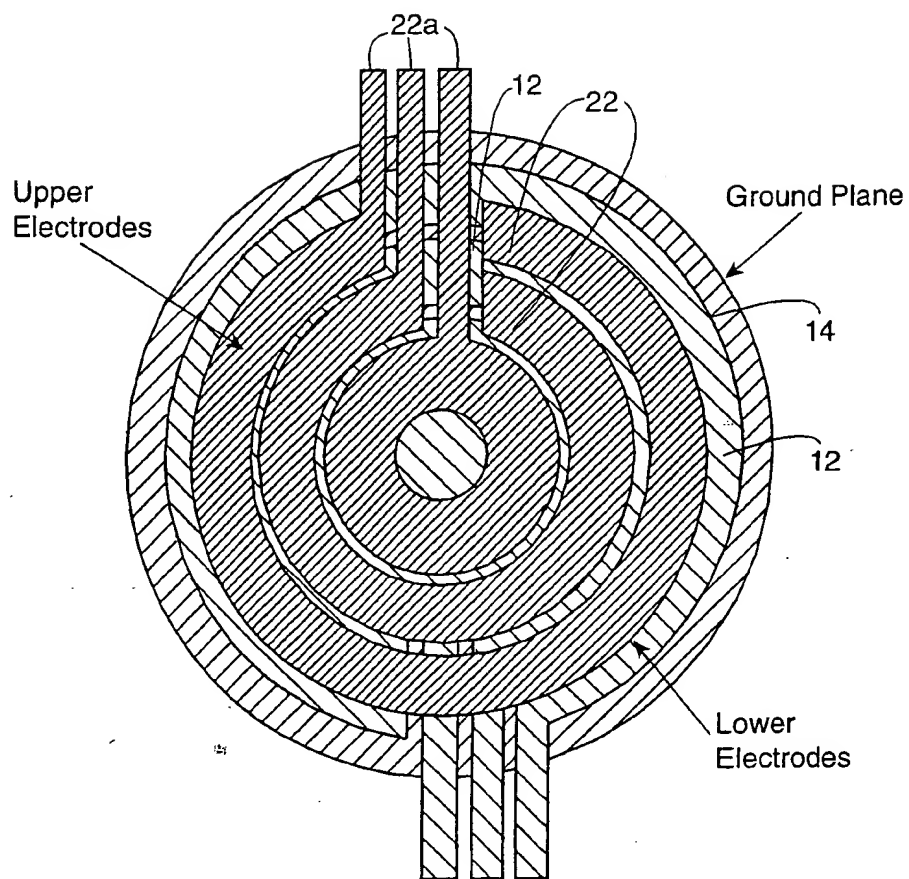


FIG. 7

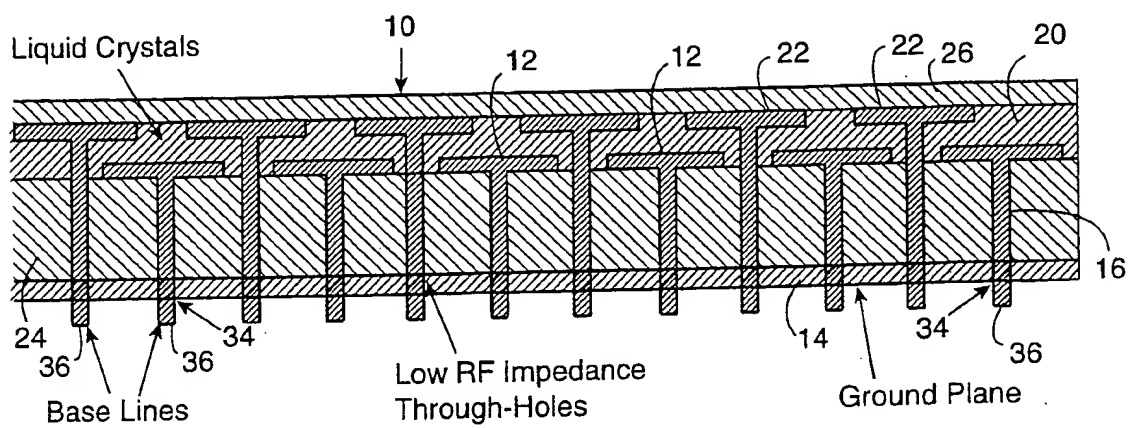
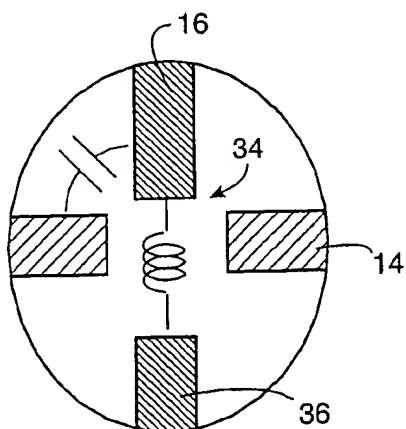


FIG. 8a



Equivalent Circuit For Through-Holes

FIG. 8c

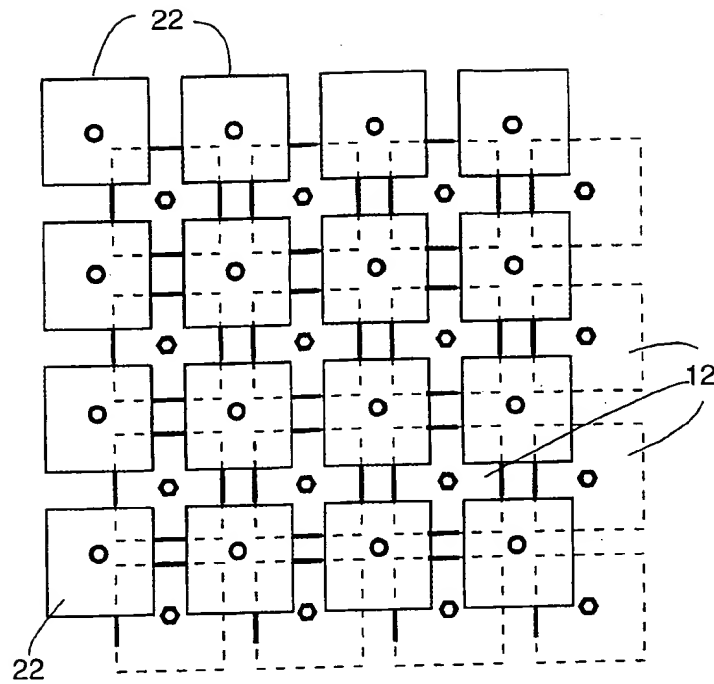


FIG. 8b

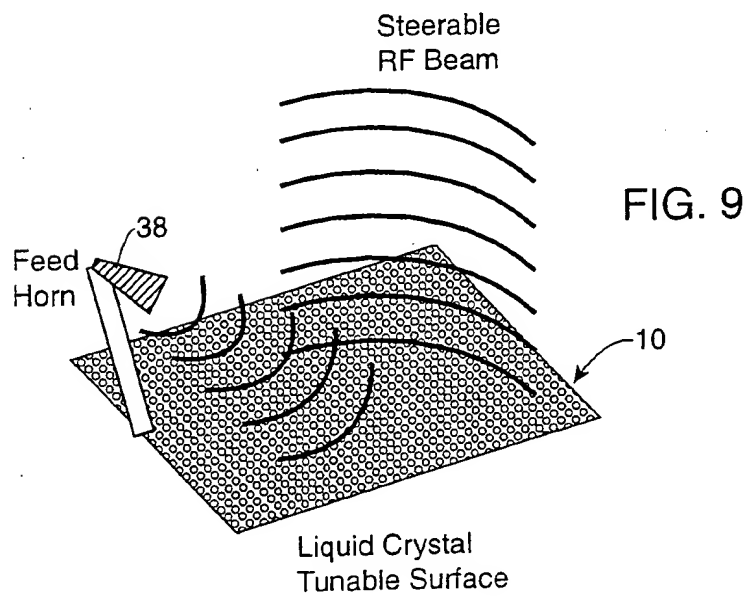


FIG. 9

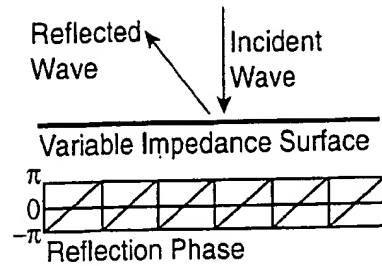
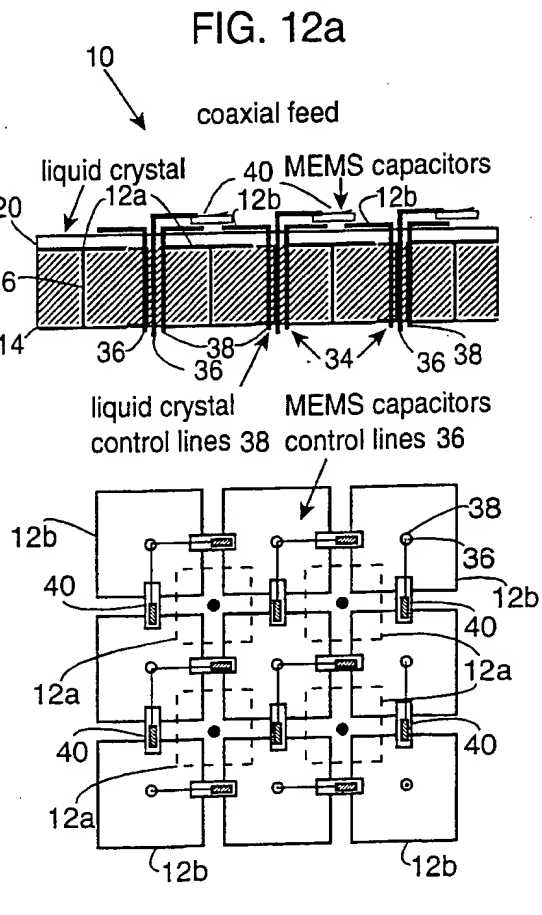
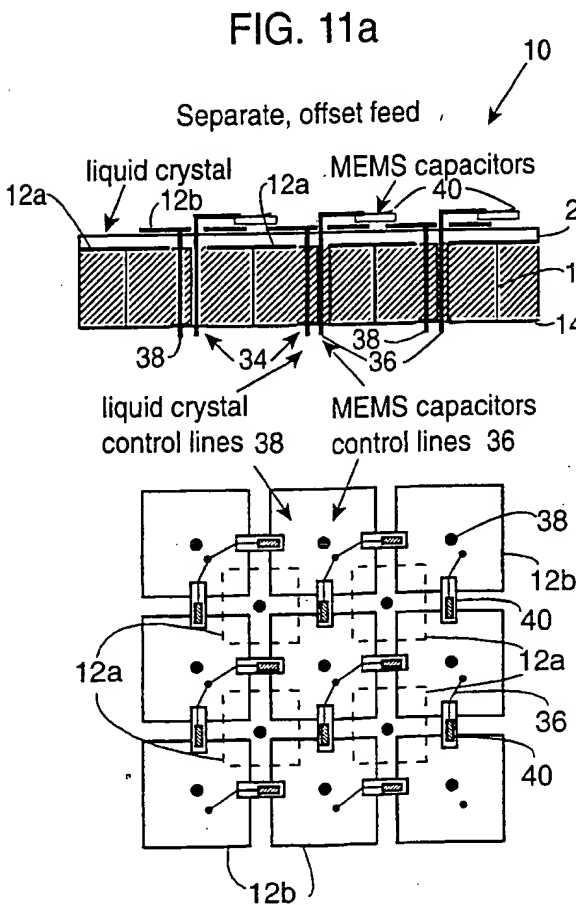


FIG. 10

To steer to large angles, the phase function would include discontinuities of 2π .
The surface would resemble a Fresnel reflector in this case.



INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 01/00855

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 H01Q15/00 H01Q3/44

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 7 H01Q

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

WPI Data, PAJ, EPO-Internal

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 721 194 A (SCOTT JAMES FLOYD ET AL) 24 February 1998 (1998-02-24) column 11, line 50 - line 61; figure 21 column 1, line 9 - column 2, line 30 ---	1,20
X	BRADLEY T W ET AL: "DEVELOPMENT OF A VOLTAGE-VARIABLE DIELECTRIC(VVD), ELECTRONIC SCAN ANTENNA" RADAR '97. EDINBURGH, OCT. 14 - 16, 1997, IEE CONFERENCE PUBLICATION, LONDON: IEE, GB, vol. NO. 449, 14 October 1997 (1997-10-14), pages 383-385, XP000776893 ISBN: 0-85296-698-9 the whole document --- -/--	1

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

* Special categories of cited documents:

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the international filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

T later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

X document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

Y document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

G document member of the same patent family

Date of the actual completion of the international search

28 August 2001

Date of mailing of the international search report

05/09/2001

Name and mailing address of the ISA
European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl.
Fax: (+31-70) 340-3016

Authorized officer

Wattiaux, V

INTERNATIONAL SEARCH REPORT

International Application No
PCT/US 01/00855

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 4 150 382 A (KING RAY J) 17 April 1979 (1979-04-17) abstract -----	1-23

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 01/00855

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 5721194 A	24-02-1998	US 5472935 A	05-12-1995
		AU 680866 B	14-08-1997
		AU 5897394 A	22-06-1994
		CA 2150690 A	09-06-1994
		EP 0672308 A	20-09-1995
		FI 953834 A	14-08-1995
		JP 8509103 T	24-09-1996
		WO 9413028 A	09-06-1994
		US 5694134 A	02-12-1997
		US 5589845 A	31-12-1996
US 4150382 A	17-04-1979	NONE	